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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: David John Watson, et al.  
Serial No.: 09/378,666  
Filed : August 20, 1999  
For : IMPROVEMENTS RELATING TO THE MEASUREMENT OF  
PARTICLE SIZE DISTRIBUTION

1185 Avenue of the Americas  
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November 8, 1999

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Applicants submit herewith as Exhibit 1, a certified copy of  
British Patent Application No. 9818348.6 (**Exhibit 1**), filed in  
Great Britain on August 22, 1998 and cited in applicant's  
Declaration pursuant to 37 C.F.R. §1.63.

Applicant hereby claims the benefit of the August 22, 1998 filing  
dates of the application pursuant to 35 U.S.C. §119 and 37 C.F.R.  
§1.55(a).

Respectfully submitted,

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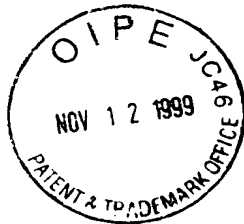
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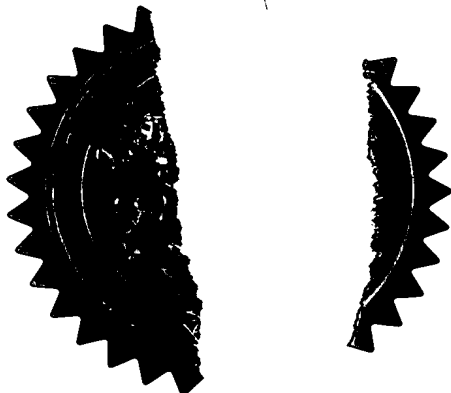
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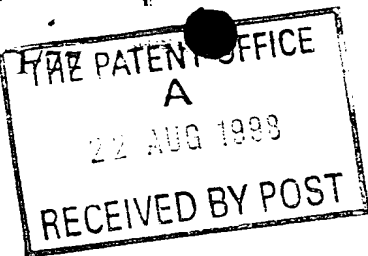
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1. The first part of the document is a list of names and their corresponding dates. The names are: John Doe, Jane Smith, and Bob Johnson. The dates are: 1/1/2020, 2/1/2020, and 3/1/2020.



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2.	Patent application number (The Patent Office will fill in this part)	<b>9818348.6</b>		<b>22 AUG 1998</b>
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	Malvern Instruments Limited Enigma Business Park Grovewood Road Malvern Worcestershire, WR14 1XZ		
	Patents ADP number (if you know it)	583963002		
	If the applicant is a corporate body, give the country/state of its incorporation	England <i>FS</i>		
4.	Title of the invention	IMPROVEMENTS RELATING TO THE MEASUREMENT OF PARTICLE SIZE DISTRIBUTION		
5.	Name of your agent (if you have one)	Barker Brettell		
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	138 Hagley Road Edgbaston Birmingham B16 9PW		
	Patents ADP number (if you know it)	7442494002		
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Signature

*Barker Brettell*  
**Barker Brettell**

Date

21.08.1998

12. Name and daytime telephone number of person to contact in the United Kingdom

John Lawrence

Tel: 0121 456 1364

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## IMPROVEMENTS RELATING TO THE MEASUREMENT OF PARTICLE SIZE DISTRIBUTION

5 This invention relates to an improved apparatus and method for measuring particle size distribution.

10 It is known to provide particle apparatus which have a light emitting means to irradiate a sample of particles with light and to provide detection means to measure the light reflected and / or diffracted by the particles in order to determine the particle size distribution of the particles. Such known systems provide a plurality of detectors, generally in a plane, around a sample measurement zone. Such a system is shown in WO 90/10215 wherein an arc of detectors is provided substantially perpendicular to a beam of light incident upon the sample of particles.

15 It will be appreciated that the term particle may mean any phase of a discontinuous material contained within a continuous phase of a supporting medium. Either phase may be gaseous, liquid or solid. The only physical limitation is that the particle must have a different refractive index to the medium and further, that the medium must be substantially transparent at any illuminating wavelength of light.

20

According to a first aspect of the invention there is provided a particle size distribution analysis apparatus comprising a sample measurement zone adapted to define a sample of particles, a light emitting means adapted to provide a source of light incident upon the sample measurement zone, and at least a first detection means adapted to measure light levels in the apparatus at particular scattering angles and output a signal to a computation means enabling the particle size distribution of particles contained within the sample to be determined, wherein the

25

30

computation means is adapted, in use, to calculate a particle size distribution taking into account reflections of light emitted from the light emitting means.

- 5 An advantage of such an approach is that the apparatus is more accurate than prior art apparatus. Previously particle size distribution analysis apparatus has measured the scattering of light from particles to perform the size distribution calculations. Reflection of the emitted light have led to inaccuracies in the calculations which were previously thought to be  
10 immaterial. It has been realised that the inaccuracies are in fact material and should be allowed for.

Preferably a second detection means is provided and the computation means is adapted, in use, to modify the readings taken from the first  
15 detection means based upon the readings taken from the second detection means to take in to account reflections.

Providing a second detection means and using readings taken from the second detection means to modify the readings taken from the first is  
20 beneficial in that the computational load on the computational means is reduced. Possibly the computational load is reduced by a factor of two.

Preferably the computation means is adapted, in use, to modify the readings taken from the second detection means based upon the readings  
25 taken from the first detection means to take in to account reflections. This may also reduce the computational load and improve the accuracy of the system.

The measurement zone may comprise at least a pair of spaced windows  
30 adapted to contain the sample. The windows may be glass, plastics, or



some other material transparent to the light emitted by the light emitting means. The windows may be substantially parallel to one another.

Such windows have been used in prior art apparatus and are known.  
5 However, the materials used for the windows reflect light incident upon them. Such reflections are unwanted and can be detected by the detection means which interprets the reflections as scattering from the particles in the sample which lead to inaccuracies in the particle size distributions calculated by the computation means. The present apparatus may be  
10 adapted to modify the readings taken from the first detection means with readings taken by the second detection means in order to account for the reflections from the windows.

The windows may be coated in an anti-reflective coating which is adapted,  
15 in use, to reduce unwanted reflections.

The first detection means may comprise a large angle detector which may be situated substantially at a large angle from the axis of the beam of light emitted from the light emitting means taking the direction of travel of the  
20 light as  $0^\circ$ . A large angle may be substantially in the range  $90^\circ$  to  $40^\circ$ .

The second detection means may comprise a back scatter detector which may be situated substantially at an obtuse angle from the axis of the beam of light emitted from the light emitting means taking the direction of  
25 travel of the light as  $0^\circ$ . The obtuse angle may be substantially in the range  $90^\circ$  to  $180^\circ$ .

There may be provided a plurality of back scatter detectors (second detection means). In the preferred embodiment there may be provided  
30 two back scatter detectors. In one embodiment the second detection

means may comprise a detector placed at substantially  $120^\circ$  and may comprise a further detector placed at substantially  $135^\circ$ .

5 There may be provided a plurality of large angle detectors (first detection means) and in the preferred embodiment there may be provided two large angle detectors. In one embodiment the first detection means may comprise a detector placed at substantially  $45^\circ$  and may comprise a further detector placed at substantially  $60^\circ$ .

10 Preferably there are the same number of first and second detection means.

The light emitting means may be a laser which emits a beam of light.

15 Preferably the angle at which the first detection means and the second detection means are inclined symmetrically relative to the measurement zone. That is if the first detection means is inclined at an angle  $\theta$  relative to a beam of light emitted from the light emitting means the second detection means may be inclined at  $180^\circ - \theta$ . Indeed, if there are a plurality of first detection means and the same number of second detection  
20 means each of the first and second detection means may be inclined symmetrically to the measurement zone. Such an arrangement is advantageous in that it simplifies subsequent calculations to allow for the multiple reflections.

25 Preferably the first and second detectors are of substantially the same construction. This is convenient and makes the calculation of the multiple reflections easier; allowance does not have to be made for differences in the detectors.

According to a second aspect of the invention there is provided a method of improving the accuracy of a particle size distribution calculation performed by illuminating a sample with light from a light emitting means and taking readings of the amount of light scattered by the sample comprising providing at least a first detection means and calculating a particle size distribution taking into account reflections of light emitted from the light emitting means.

An advantage of such a method is that it can lead to more accurate results than prior methods. The method may be thought of as adjusting the data provided by the detection means to take account of known reflection terms. The modification of the readings may be used to allow for unwanted reflections within the apparatus which could lead to inaccurate results.

Preferably a second detection means is provided and the readings taken from the first detection means are modified by readings taken from the second detection means. This is advantageous in that the computational load is reduced.

Preferably the reading taken from the second detection means is modified by readings taken by the first detection means. Again, this may improve the accuracy of the system.

Preferably the method comprises compensating a reading from a detection means detecting light scattered having a directional component toward the light emitting means with a reading from a detection means detecting scattered light having no directional component toward the light emitting means. This may be thought of as compensating readings taken for back scattered light with readings taken for forward scattered light.

The method may also comprise compensating a reading from a detection means detecting light having no directional component toward the light emitting means with a reading from a detection means detecting scattered light having a directional component toward the light emitting means.

5 This may be thought of as compensating readings taken for forward scattered light with readings taken for back scattered light.

Preferably the two detection means are provided at substantially symmetric angles relative to the measurement zone. This has the

10 advantage that the calculations necessary to modify the readings are simplified.

The first detection means may comprise a plurality of detectors. Likewise the second detection means may comprise a plurality of detectors. The

15 method may comprise integrating the signals received by the first detection means to obtain a reading and further comprise integrating the signal received by the second detection means to obtain a reading.

The method may be thought of as reducing the likelihood of the

20 occurrence of inaccurate particle size distribution predictions. The unwanted reflections within the apparatus may lead to the detection means detecting particle sizes which are not actually present in the sample.

There now follows by way of example only a detailed description of an

25 embodiment of the invention with reference to the accompanying drawings of which:

**Figure 1** shows the co-ordinate system used;

30 **Figure 2** shows a basic system configuration;

**Figure 3** shows an enhanced system configuration;

5        **Figure 4** shows a further enhancement of the system shown in  
Figure 3;

**Figure 5** shows an optical arrangement allowing the system to be  
aligned;

10       **Figure 6** shows an arrangement of detectors suitable for aligning  
the system;

**Figure 7** shows a schematic circuit for stabilising a light source of  
Figure 4;

15       **Figure 8** shows a schematic of the signal and collection circuits of  
the system; and

20       **Figure 9** shows the reflections occurring from a sample cell of the  
system.

Figure 2 shows a schematic of a basic particle size distribution measuring  
apparatus wherein a low power laser source 2 (light emitting means),  
typically a He-Ne laser is beam expanded and spatially filtered to produce  
25 a larger collimated beam containing only the TEM 00 mode of laser  
propagation. A lens 4 and a spatial filter component 6 positioned in the  
focal plane of the lens 4 achieves this.

A beam splitter 8 is typically used in order to allow a small fraction of the  
30 laser power to be directed onto a laser monitor detector 10. This

detector 10 allows the incident laser power to be monitored and any fluctuations corrected. It is important in the sub-micron measurement of particles to ensure that the laser power does not fluctuate between the sample and background measurement stages. For this reason optical  
5 sources are always either directly stabilised or monitored so that compensation can be performed. Non laser and semiconductor laser optical sources can be readily power controlled, however gas lasers need to operate in a steady state mode.

10 Although intensity control of a gas laser can be achieved by feeding the detector signal into the measurement electronics as gain compensation (for example it can be used to modulate the ADC reference voltage so that the ADC conversion characteristic is constant regardless of laser power) it is preferred to use a different and better approach. The laser power is read  
15 as a data value and allowed for by performing a scale correction during subsequent data processing by a signal processing unit.

A moveable shutter (not shown) which can be introduced under system control is provided to allow the laser illumination to be blocked from  
20 impinging on a sample cell turned off without in fact removing power from the laser. This is a commonly employed approach for gas lasers, which do not respond well if turned off and on frequently. The purpose of the shutter is to allow the laser power to be removed in certain measurements of the detection system, for example, dark current and  
25 electronic offsets (and when using a second light source to scatter from the sample).

A range lens 12 then focuses the beam 14 so that a diffraction limited spot is produced in a plane of a focal plane detector 22. The laser beam also

passes through a sample region 16 (or measurement zone) into which sample particles will be introduced.

In principle the sample region needs no physical parts to define it, since  
5 particles may be driven through the beam without any form of containment. However, it is preferred to provide a "sample cell" (acting as a sample containment means) in order to provide protection of the optical system and containment of any particle carrier fluids. A sample cell would typically consist of two glass windows 18, 20 spaced apart by a  
10 well defined distance which are built into a cell body (not shown) designed to contain and suspend / circulate the particles in a carrier medium.

The windows 18, 20 allow the access and egress of the laser beam and of  
15 scattered light from the sample particles over the required practical range of the system. The carrier medium may be liquid or gas, the most common media being water and air. The sample region or cell 16 is the intersection of the laser beam diameter with the space between the containment windows 18, 20.

20 The sample region 16 is positioned at a known distance  $F$  from the focus point of the laser beam. The dimension  $F$  is critical in that it defines, for a given set of components, the available size range of the system for the system shown in Figure 2. If further detectors are added the particle size  
25 distribution which can be measured is extended.

At the focal point of the laser beam a multi-element focal plane detector 22 is positioned, conventionally constructed as a single silicon photodiode array and an example of such a multi-element detector layout  
30 is shown in Figure 6.

In the remaining description the following co-ordinate system has been used and is shown in Figure 1. The direction of laser light propagation in is assumed to be the positive Z direction. The X-Z plane is assumed to be the "horizontal plane" and Y-Z the "vertical" plane. The arrows indicate the positive direction for all co-ordinates. Angle  $\theta$  indicates the scattering angle away from the laser axis Z to an arbitrary point P, in the plane containing both Z and P. The angle  $\phi$  is the azimuthal angle from the X-Z plane around the Z axis to the point P.

10

Performance enhancing improvements to the basic system of Figure 2, allowing smaller particles to be detected, are shown in Figures 3 and 4. The skilled person will realise that in some prior art systems multiple laser sources at different cell entry angles are used allowing the detector to be transformed into apparently new collection angles. Also, some prior art system utilise multiple detection systems with a single laser source to achieve the same effect. Although not commonly employed any permutation of these principles is also possible.

20 In the preferred embodiment a further array of detectors is used to extend the optics described earlier as shown diagrammatically in Figure 3. The extra detectors decrease the minimum size of particle which can be measured pushing the detectable particle size down to approximately  $0.2\mu\text{m}$ .

25

A series of nine forward angle detectors are provided although only five are shown in the Figure 24, 26, 28, 30, 32 on a PCB that runs the length of a cell-detector void. The detectors 24, 26, 28, 30, 32 are mounted physically aligned to face the cell 16. Each detector 24, 26, 28, 30, 32 is



located at a special distance and angle from the cell 16 which is selected to optimise the information content of the entire system.

As the position of a detector departs from the focal plane of the lens 12 the detector no longer perfectly integrates over  $\theta$ . Instead the detector measures signals over an additional  $\Delta\theta$  which increases the nearer the detector is to the cell 16. This error in the angular collection of the detector can be predicted and therefore taken account of in a theoretical model of the system. In addition it is known that the light scattering characteristic changes more slowly as the angles become larger and thus the effect of the slight integration error becomes smaller and eventually negligible. The detectors 24, 26, 28, 30, 32 are provided and can be considered to be a simple angular continuation of the signal received on the focal plane detector 22. Indeed in the preferred embodiment the detectors 24, 26, 28, 30, 32 are routed into the same signal conversion electronics as the signals from the focal plane detector 22.

Because of the reducing angular dependence of the signal it is normal for the detectors 24, 26, 28, 30, 32 not to form a continuous angular sequence since no information can get "lost" in the gaps. Further, the detectors 24, 26, 28, 30, 32 are rectangular or circular standard components and not the angular ring structure of the focal plane detector 22.

At angles approaching  $45^\circ$  or greater a new problem in signal detection becomes relevant. The detectors 24, 26, 28, 30, 32 are operating as simple line of sight detectors and thus they see also the stray reflections from the cell 16 (and multiple reflections). At forward angles the scattering from particles is strongly dominant and the stray multiple reflections are safely ignored. At the larger angles the tilt of the cell

brings the cell walls more directly into view and the particle scattering intensity is typically masked. That is the detector field of view eventually brings the cell wall into view and the detector consequently collects light reflected from the cell wall as well as light scattered by the particles.

5 This forces consideration of the proper spatial filtering of the received detector signals at larger angles.

As a consequence for these larger angles (that is angle approaching  $45^\circ$  or greater) a detector is produced as a small element positioned in the focal plane of a collection lens. The combination forms a spatial filter, and

10 ensures that the detector receives light only from a narrow collection angle. This allows the cell wall signals to be acceptably rejected and not interfere with the measurement. Because of this additional complexity these detectors are used sparingly and referred to as large angle

15 detectors 34, 36 in Figure 3.

A further enhancement of the basic system of Figure 2 is also shown in Figure 3 and comprises providing back scattering detectors 38, 40. These detect light scattered by particles from the rear of the cell; that is where

20  $90^\circ < \theta < 180^\circ$ . This detection is particularly important for the sub-micron determination of particle size. However light scattered at backward angles has poor angular variation and thus there is little need to sample at small angular increments. The back scatter detectors 38, 40 have mirror symmetry with the large angle detectors 34, 36 about an X-Y

25 plane passing through the sample cell, and are constructed in an identical manner. The mirror symmetry is a convenience that allows for a simple correction of the large angle 34, 36 and back scatter 38, 40 detectors for the high cell reflectivity that occurs at these large exit angles from the cell 16.

Thus the basic approach of the preferred embodiment has a detection means comprising a focal plane detector 22 of thirty three elements, a transmission detector 50, an forward angle array of nine further elements, two large angle detectors 34, 36 and two back scatter 38, 40 detectors. The detectors are all disposed in a single plane with respect to the laser polarisation plane.

Figure 4 shows a schematic of a further enhancement of the system shown and described in relation to Figure 2 which allows the system to measure particles to a size of less than  $0.1\mu\text{m}$  and to improve the resolution for particle sizes of less than  $1\mu\text{m}$ .

A light source with a shorter wavelength than the laser 2 is provided and the large angle and back-scatter responses to this light are measured to gain the extra resolution. The use of shorter wavelengths is the key to the bottom end size reduction and the combination of the two wavelengths increases the available sub-micron resolution of the system.

The shorter the wavelength the greater the enhancement to the sub-micron range and resolution. However there are practical considerations that prevent a substantial reduction in wavelength at effective cost. Thus only visible light wavelengths may be a practical option. In the system of Figure 4 an LED 42 (a light emitting means) which emits blue light has been used.

The sub-micron performance is only enhanced by the large angle scattering from the additional optical measurement and small angle scattering is largely redundant as it is duplicating data in the original measurement. Thus there is no need to produce a reduced wavelength light source 42 capable of the same stringent spatial filtering as the main

optical system. This means that the requirements for collimation of the second beam are reduced significantly since only large angle scattering needs to be measured and the light output of an LED is sufficiently collimated and monochromatic.

5

Further, the source 42 need only be near monochromatic rather than have the wavelength purity of the laser source 2. This is because small wavelength errors are equivalent to small angular errors. For the large angles of interest the angular dependence of particle scattering is reduced and thus the wavelength spreading effect becomes negligible.

10

Thus the blue LED 42 emitting a blue beam of light is one example of a suitable source provided it has a narrow spectrum of output light, typically  $\pm 50\text{nm}$ . The ability to use an LED as the source significantly reduces the cost of implementation and improves the long-term robustness.

15

The shorter wavelength light source (LED) 42 is provided off-axis to the laser source 2 and in a plane perpendicular to the plane formed by the detectors 24, 26, 28, 30, 32 and the large angle 34, 36 and the back scatter 38, 40 detectors. (That is Figure 4 has been rotated  $90^\circ$  about the z axis relative to Figure 3). If the angle of the beam from the LED 42 relative to the z axis is kept small it is possible to re-use the large angle 34, 36 and the back scatter 38, 40 detectors in measurements using both light emitted from the LED 42 and the laser 2. This has the benefit of avoiding the cost of further detectors specifically for measurement of signals produced by the LED 42. Although feasible it may not be considered necessary to utilise the larger angle elements of the detectors 24, 26, 28, 30, 32 for the short wavelength requirements (or we may re-use them in this way in other embodiments).

20

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In the preferred embodiment the LED 42 is provided at a shallow angle, typically 10-15° to the main beam path, sufficient to allow the optical components to co-reside without mechanical interference.

5

The LED 42 has a wavelength of typically 466nm and with a narrow spectral range, typically +/-30nm half width, half height. The light emitted from the LED 42 is collected and collimated by a single lens 44 which projects a beam through the cell windows 18, 20 at the same  
10 physical location as the beam from the laser 2. Thus the same cell 18, 20 windows are effective for measurements from both the LED 42 and the laser 2 and there is no need for a dual cell configuration.

The LED 42 projects the blue beam through the cell 16 so that it  
15 superposes the area where the beam from the laser 2 intercepts in the cell 16 (preferably exactly superposes). The unscattered beam from the LED 42 exits the cell 16 and is collected by an LED transmission detector 45 that measures the transmission of the blue beam through the cell. This LED transmission detector 45 also requires spatial filtering in  
20 order to improve the angular resolution of the measurement. This is achieved by use of a detection scheme (identical to that of the large angle 34, 36 and back scatter 38, 40 detectors described earlier), having a small detector element 45 in the focal plane of a collection lens 43.

25 The structure of the focal plane detector 22 is shown in Figure 6. A centre of the focal plane detector 22 comprises a structure that is designed to allow the monitoring of the unscattered laser beam power. This may be implemented in one of three forms, a hole drilled through the wafer from which the detector is fabricated, a detector structure built on the  
30 wafer surface, or a mirror-like element that reflects the laser beam off the

surface to an auxiliary detector mounted elsewhere. Each solution is aimed at allowing a measure of the power of the focused spot, which gives the power of the unscattered laser 2 output power.

- 5 In the preferred embodiment the wafer 46 from which the focal plane detector 22 is fabricated is drilled completely through from front to back with a small hole 48 of exact diameter and positioning. The focal plane detector 22 is aligned with the laser beam so that the diffraction limited spot falls down through the hole and out of the rear of the focal plane  
10 detector 22. A P.C.B (not shown) supporting the wafer 46 is provided with suitable clearance holes to allow the beam to expand from the rear of the focal plane detector 22 and to fall onto a transmission detector 50.

In addition to the central hole 48 the focal plane detector comprises a  
15 series of annular ring detectors (51 to 66). Each detector is defined by an inner  $R_i$  and an outer  $R_o$  radial boundary, and an azimuthal angle  $\Delta\phi$ . The detectors may be constructed with a wide variation in the number of ring detectors provided and spacing in the spacing of each of the detectors, each different design trying to optimise the system.

20

A single detector element provides an integration (over time) of the light scattered by particles into those angles received between the  $R_i$  and  $R_o$  boundaries. These angles are also determined by the cell 16 - focal plane detector 22 distance  $F$  (as shown in Figure 2). It may be possible to  
25 arrange the lens 12 so that cell 16 to focal plane detector 22 length  $F$  is varied. Such a variable arrangement allows a wider range of angles to be covered by the instrument in a series of size ranges determined by the distance  $F$ . In the preferred embodiment a single range lens 12 and a fixed cell 16 - focal plane detector 22 distance,  $F$ , with range extension

being achieved by the use of the large angle 34, 36, the back scatter 38, 40 and detectors 24, 26, 28, 30, 32.

5 In order to measure the largest possible particle sizes the inner detector 51 wants to measure the smallest angles possible and in practise means that the size of the central hole 48 needs to as small as is practical whilst collecting all of the beam from the laser 2 with the first detector as close to the boundary of the hole 48 as possible. The practical limits of photolithography and micromachining determine this smallest detector  
10 dimension.

In order to measure the smallest particle sizes the detectors need to subtend larger angles, eventually even back-scatter angles are required. There is a clear practical limit to the range of angles that can be covered  
15 by a system having only a focal plane detector 22. The limit is determined by the largest physical dimensions that can be integrated into the planar focal plane detector array and such systems are typically limited to angles up to  $30^\circ$ , which prevents accurate sizing below  $0.3\mu\text{m}$ .

20 As discussed hereinbefore the light sources must be stabilised or corrections made for the power fluctuation. Unlike the laser 2 the LED 42 has desirable properties with regard to light power control in that it can be turned on and off at will and rapidly stabilises in output power. It can also be readily temperature stabilised, and outputs relatively little  
25 heat. The output power can therefore be controlled by modulation of the LED 42 current. For these reasons the power control of the LED is accomplished by a closed loop electronic control system shown in Figure 7.

The LED 42 output power is monitored by a stabilisation means which comprises a photodiode monitor 68 (primary monitoring means). The monitor 68 requires no beam splitter or special optics since the LED 42 has sufficient optical losses that the detector can monitor using the stray light 70 lost from the LED plastic body. The stray light is represented in the Figure by the outer region around the main beam 72 from the LED 42. The monitor 68 provides a feedback signal to a current control circuit 74 (or primary processing means) that varies current to the LED 42 until an output power is achieved that matches an input control demand.

In order to provide temperature compensation for the LED stabilisation means a further identical detector held in blackout conditions but under the same temperature environment may be used to provide a temperature stabilisation means. This allows the monitor signal to be compared differentially with the signal from the blacked out detector giving common mode rejection of temperature variation.

This provides a stable known output light intensity from the LED 42 that is entirely electronically servo controlled. This implies that the LED 42 power monitor does not require that it be fed into the computing element (as is done with the reading from the laser monitor detector 10), since it can be taken as pre-calibrated.

An alternative / different approach to providing the same control effect would be to feed the signal from the monitor 68 into the computational element 77 so that the gain compensation could be performed by digitally re-scaling the data obtained using the LED 42. (That is as is done with the signal from the laser monitor detector 10). This would avoid the need for closed loop control of the LED 42 power supply, which could instead



then work as a constant current source. We prefer to stabilise the LED since it is an elegant solution and avoids unnecessarily complicated computational calculations.

5 In order to enter the data into a computational element 77 it is fundamentally necessary to multiplex parallel data produced by the system to a serial stream that can be read through a common interface. There are many conventional ways to perform this using electronic systems, analogue, digital or bus based multiplexers are all commonly utilised  
10 singly or mixed.

In the preferred embodiment the arrangement in Figure 8 is used to process the data produced by the system. Each detector in the system (the detectors are represented on the right hand side of the Figure and are  
15 connected via busses to the remaining circuitry of the Figure), is provided with its own dedicated transimpedance gain amplifier followed by a sample and hold stage (represented by S/H in the Figure). The gain stage of the amplifier lifts the signal levels to a sufficient level to allow the following signal processing to introduce negligible error.

20

The sample and hold circuits S/H are connected to a common timing line 78. An address line 104 is connected to the multiplexers 76, 106, 108, 110. The analogue to digital converter 82 is connected to a data line 102 and a timing lines 100.

25

The parallel outputs of the detectors (apart from the LED stabilisation means) are fed to a multiplexing element 76 that is conventionally implemented as a cascade of analogue multiplexers. Equally the multiplexing is sometimes achieved digitally using control of output  
30 enables of bus connected drivers.



The single channel of output is further gain and offset adjusted by an amplifier 80 and then input to an Analogue to Digital Converter (ADC) 82. The ADC will, on command, convert the signal value to a digital representation at a specified level of precision. This value is then read by a computational device (not shown), typically a microprocessor and read into a memory location.

The computational element operates on the scattering data to fit it to known Fraunhofer and/or Mie Scattering theories to evaluate the particle size distribution by evaluating the best fit distribution that would produce the detected scattering.

The relative positioning of some components of a laser light scattering system are critical to the correct operation of the system. All lenses are typically built to allow adjustment at time of assembly and test which are then locked down as factory pre-set at time of shipment. The positioning of the focal plane detector 22 with respect to the beam from the laser 2 however requires special treatment.

In order for there to be insignificant errors in the experimental data the focal plane detector element must register with the centre of the laser beam, since this is by definition the zero angle point of the scattering pattern. In a system measuring particle sizes of greater than 1mm the first ring detector is measuring scattering angles of 0.01 degrees requiring high system stability.

Any error on focal plane detector 22 positioning in the X-Y plane means that all ring detectors 52 to 66 would be off-axis and therefore integrating an incorrect signal average. Small errors in position have a great

influence of the first ring detector 52 and progressively less for ring detectors 54 to 66 that are larger and further from the optical centre. Because of the very small geometry typically of the first ring detector 52 the alignment of the focal plane detector 22 with the beam of the laser 2 is  
5 critical to the accuracy of the inner ring detector 52.

Signal errors in light scattering patterns can be detected for changes in alignment of as little as  $2\mu\text{m}$  but a typical system will normally attempt to control the alignment down to the  $\pm 4\text{--}8\mu\text{m}$  level. The present system  
10 achieves this level of alignment.

The focal plane detector 22 in the preferred embodiment is augmented by the addition of further alignment detectors  $T_1$  to  $T_4$  that are specifically for the alignment. These alignment detectors  $T_1$  to  $T_4$  are best seen in  
15 Figure 6 and provide no useful data for size analysis and are removed from the data set before processing.

The alignment detectors  $T_1$  to  $T_4$  are designed to allow sensitive X-Y plane discrimination. One approach is to utilise three symmetrical detectors in an isosceles triangle form. In the preferred embodiment four alignment  
20 detectors are provided in a square and this is the arrangement shown in Figure 6. The advantage of the former is that it allows discrimination in a 2D plane with the minimum of detectors. The latter approach means, by aligning the square parallel to the X-Y directions that X movement can be  
25 derived from one pair, independently of Y movement from the other. These detectors may be positioned in different places in different systems, depending on the approach taken in the measurement of the initial intensity of the laser beam which is taken by the transmission detector 50.

If the focal plane detector 22 has no hole and the transmission detector were provided on the surface of the focal plane detector 22 then it is possible to construct the transmission detector 50 as three or four sub-elements. The laser beam is then adjusted until it equalises the signal contribution from each sub-element, the sum of all elements being used as the reading. Whilst this is a convenient layout it suffers from specific disadvantages. The first is that it depends on the beam having circular symmetry, whereas the real beam may have aberration. The second is that the detector structures are very small and are thus subject to significant error in dimensions through photolithography limitations.

In another embodiment the focal plane detector 22 has a hole at a centre portion and an auxiliary transmission detector 50 to measure the initial laser beam intensity and it is possible to similarly split this detector into sub elements. Again the beam is adjusted to balance the relative powers on the sub-elements with the entire signal being used as the transmission measure or level of initial laser beam power. This approach eliminates the problem of having detector elements of small sizes since the beam has expanded considerably by the time it hits a transmission detector 50 beyond the focal plane detector 22 and typically expands to substantially 3mm. Thus, the sub-detectors of the transmission detector 50 can be larger with the same discrimination.

However it adds new difficulties in that the transmission detector 50 is normally mounted to the focal plane detector 22 by hand and thus its alignment with the centre of the focal plane detector 22 has to be calibrated during assembly in some way. Given the extreme precision involved this requires extra expense. In addition since in some systems multiple ranges are achieved by changing the distance  $F$ , the alignment of

the detector normal to the Z direction must be exact to avoid the alignment point apparently moving at different range positions.

5 In one embodiment the arrangement of Figure 6 is utilised providing the alignment detectors on the focal plane detector 22.

The alignment detectors  $T_1$  to  $T_4$  are constructed in the form of annular ring structures, however each is identical. The rings are aligned with the X-Y plane so that  $(T_1 + T_2)$  compared with  $(T_3 + T_4)$  gives a Y correction  
10 signal, and  $(T_1 + T_3)$  compared to  $(T_2 + T_4)$  gives an X.

The alignment detectors  $T_1$  to  $T_4$  are constructed well away from the centre of the array forming the focal plane detector 22, typically 1mm from the centre of the array for example. This prevents the inner ring  
15 geometry needing to be non-optimum in order to allow tracking of the alignment detectors  $T_1$  to  $T_4$  out from the centre. The alignment detector  $T_1$  to  $T_4$  dimensions are relatively large typically 1mm across ensuring that the photolithography process reliably defines them. The same masks that create the elements themselves lays them down and thus  
20 they are intrinsically aligned with the centre of the focal plane detector array. As each detector is on the same local area of silicon the gains of the elements are tightly controlled and track thermally and therefore experience the same thermal conditions.

25 This arrangement has a benefit in that it allows the detection of situations in which the hole in the detector centre may itself be slightly off-centre. In such a case the traditional alignment process of maximising the signal received by the transmission detector 50 tends to align the system with the hole, and the focal plane detector 22 is therefore misplaced. The use of  
30 the alignment detectors  $T_1$  to  $T_4$  ensures that the beam is aligned with the



array structure, the net result being that the hole is misplaced. Since the hole provides clearance only to allow the beam through the detector and is otherwise ineffective, the above (aligning relative to the detectors rather than the hole) provides for better results.

5

Because the alignment detectors  $T_1$  to  $T_4$  are well away from the innermost rings and the hole 48 they output little signal caused by stray light in a background measurement. Thus to use such alignment detectors  $T_1$  to  $T_4$  reliably requires the introduction of a scatterer intended to create the alignment signals needed. In the most preferred embodiment an alignment target 94 is employed which is movable, preferably under the control of automatic control means of the system (but it could be introduced manually) and is introduced during alignment to create the signal and will be discussed hereinafter. The arrangement of the system with the alignment target 94 introduced is shown in Figure 5.

In prior art systems the alignment can be performed manually by an operator who inspects data generated from a clean system prior to making a background measurement. The operator adjusts the detector signal "shape" until a satisfactory background signal is achieved, normally minimising the scattering in the inner rings of the focal plane detector 22 and maximising the signal received from the transmission detector 50. One of the difficulties of alignment in this way is that the fully aligned condition is marked by an absence of signal, since the system is clean. This means that the system usually cannot resolve better alignment below a limiting threshold set by reliable detection of the zero condition.

Because of the subjective nature of such an assessment the alignment procedures used have developed over years in a number of ways. The first is to arrange for the geometric placing of the detectors to be such

that they provide easily recognisable signal distortions when a misalignment is present. This reduces the subjectivity of judging good alignment by making it easier to identify.

- 5 The second has been to provide automation of the alignment process, deferring the subjective expertise to an alignment algorithm that applies consistent rules to the selection of a best condition. This has necessitated the development of low-cost micro-manipulation stages for the focal plane detector 22 within the optical system.

10

The third has been to introduce a sample scatterer to the optical system during alignment to generate signals specifically designed to aid the alignment process. This ensures that the fully aligned condition can be sensitively discriminated since signal is always present on the detector.

15

In the preferred embodiment a combination of the three approaches has been used. The alignment target 94 is introduced on a swinging arm (not shown) that interposes it in the position as shown in Figure 5.

- 20 For convenience the target is introduced at a distance  $F_T$  from the focal plane detector 22 which is different than the distance of the cell 16 from the focal plane detector 22,  $F$ . This is generally shorter than  $F$  and allows the alignment target 94 to be built within an enclosure housing the of the system and thus sealed from interference or contamination.

25

An actuation means (not shown) is provided to move the focal plane detector 22 and is fed by signal originating from a control means (also not shown).

The alignment target 94 could be constructed in a number of ways, a small sample cell containing particles, a reticule with particle images imprinted on them, or any arbitrary pattern chosen only for its ability to scatter light uniformly in  $\phi$ .

5

In the preferred embodiment a "dark field reticule" approach is used where the particles are simulated by creating clear apertures in an otherwise opaque mask. This target can be thought of as interposing a number of identical pinholes into the beam, giving diffraction from their edges in the far field. Figure 5 shows a single pinhole for simplicity. This approach has one particular advantage, which is that it eliminates the main beam and any scattered light from the system prior to the mask itself. This is important since it means that the outgoing light is virtually independent of the cell 16 condition. It allows the alignment to be performed as reliably with the cell 16 containing sample as it can be with a clear cell. This clearly makes the alignment process robust and allows for in measurement alignment checking as a new option.

For example the medium in which the particles are suspended may alter the refractive index of the measurement zone and thus require realignment of the apparatus once the medium has been introduced or changed. If the system allows in measurement alignment the system can be realigned once the medium is introduced. This is especially relevant if the sprays or powders are being analysed.

25

The dark field reticule apertures are chosen to be of a diameter such that the fast falling part of their scattering intensity characteristic lies right at the alignment detector angle. This ensures that small movements of these detectors provide large intensity changes, and thus optimises the detection sensitivity of the aligned state.

30



Because a single pinhole lets only a small amount of light through the signal received is small and difficult to accurately compare. This is improved by creating an array of preferably identical pinholes, just as the  
5 system measures multiple particles simultaneously. The array is of a regular form and the inter-hole spacing chosen to be very much larger than the hole size itself. This ensures that coherent scattering effects are concentrated into smaller angles, which do not therefore fall on and influence the alignment detectors.

10

The multiplication of pinholes ensures that the system alignment does not become dependant on the detailed construction of a single pinhole, it instead relies on the average behaviour of a set. The pinholes can be constructed accurately using photolithographic processes and thus tight  
15 control is possible of hole size and roundness.

Signals are fed to the control means from the alignment detectors and used to control the actuation means to correctly align the focal plane detectors relative to the light sources.

20

In prediction of the back scatter signal expected from theory it has been found necessary to take account of the reflection properties of the cell windows 18, 20 and those of any other plane surfaces in the scattering region, such as protection windows, etc.

25

The back-scatter signals are relatively weak at all particle sizes and only become significant when the particle sizes become small and scattering becomes more isotropic. Thus as size reduces the strongly dominant forward scattering becomes weaker until it has reached the same intensity  
30 as the back-scatter.

This situation means that if forward scatter light were to be back reflected, even inefficiently (for example from a cell window), then it would significantly corrupt the back-scatter signals. The mechanisms for cell reflection in the standard form of cell are as shown below in Figure 9.

The principle illumination, or incident beam, is shown above at 84 on the main axis, propagating left to right. The main scattering components are shown from a notional single particle 86. These rays are those predicted by the use of conventional light scattering theories, such as Fraunhofer or Mie, etc.

Additional ray paths 88 exist if each plane surface of the cell 16 is considered to have finite reflectivity. These are shown above the incident beam 84 and displaced higher on the figure to aid visibility. Starting from the centre and working upwards we have, the  $0^\circ$  reflection of the un-scattered beam 90,91 that is back-reflected and then forward scattering off the particle. The forward scattering produces two beams since there are two cell window surfaces. Then there are the two components 92, 93 caused by reflection of the forward-scattered light emerging from the cell without further scattering.

Any other plane surfaces in the system demonstrate the same basic behaviour, and therefore any further surfaces between cell and detector generate similar signals. Because the detectors have limited collection range caused by the typical apertures present in the optical system it is generally only the  $0^\circ$  back reflections that needs to be accounted for with these additional windows. The  $0^\circ$  reflected beam propagates back through

the system until it again passes through the cell and any subsequent scattering is then directly in the line of sight of the detectors.

Each reflection depends upon the reflectivity of the surface involved and the angle of incidence of the beam. By the appropriate use of anti-reflection coatings it is possible to minimise reflections, particularly those effects involving  $0^\circ$  reflections. However for high angle of incidence reflectivity values of up to 10% can be experienced, even with optimised surfaces / coatings.

10

The original back-scattered light can also be forward scattered although this is usually a small effect due to the strong dominance of the forward signal. However for the smallest sizes, where light has become almost completely isotropic it is useful to take account of the reflection of the back scattered components. In the diagram above this is shown at  $R_2$  and displaced downward from the diagram centre.

Reflections are cumulative since the first reflected beam might suffer further reflection before exiting the cell. However whilst reflectivities are  $< 10\%$  any second reflection will have reduced to a  $< 1\%$  effect and thus can be safely ignored. For the purposes of the models it is normally sufficient to include only first reflection behaviour for each mechanism.

The overall effect of these multi-component reflections is simple, a practical reflectivity  $R_1$  and  $R_2$  can be determined for the cell which describe the grossed up cell behaviour at the scattering angle concerned. Thus  $R_1$  describes the effect where light originally scattered to angle  $\theta$  will be reflected into the back-scatter angle  $180-\theta$ . Generally because of their different composition  $R_1$  and  $R_2$  are not identical, despite the apparent symmetry of the cell.

30

This makes clear that the back-scatter angle  $180-\theta$  is corrupted by a signal component from forward angle  $\theta$ . To take account of this theoretically it is necessary to integrate the appropriate light scattering theory over the back-scatter angles, and those forward angles mirrored by the cell. These two signals can then be combined according to the reflectivity  $R_1$ . The need to account for the mirror angles doubles the computational load for the calculation of the scattering matrices, essential to the analysis of data to particle size.

10

An advantage accrues in this computation if the large angle detectors 34, 36 and back scatter detectors 38, 40 operate at angles that are mirror symmetric and are preferably identical in construction. If for example back scatter detector 40 is mirror symmetric with large angle detector 36 then the integration of reflection angles appropriate to back scatter detector 40 has already been accomplished in computing the forward scatter at large angle detector 36. Thus the necessary reflection corrections can be performed using the standard theory. For the case mentioned the correction would be

$$20 \quad BS_2^* = (1-R_2)(BS_2 + R_1.FS_1)$$

$BS_2$  = reading at back scatter detector 40

$FS_1$  = reading at large angle detector 36

25 Where  $BS_2^*$  is the corrected scattering matrix signal under any given condition where  $BS_2$  and  $FS_1$  were the original theoretical predictions without reflections assumed.

Thus we compensate the detected back scatter signals by an amount dependent upon the detected forward scatter signals to take into account reflections.

- 5 The effect of accounting for cell reflections in the performance of the size analysis is extremely beneficial at all particle sizes. Without cell reflection correction the system under-predicts the amount of back-scatter light present for a given material. The excess causes the instrument to assume that sub micron particles are present too, since they can give rise  
10 to back-scatter signals whilst barely altering the forward scatter data.

By accounting for the cell reflections the system is able to correctly predict the back-scatter signal excess, thus improving accuracy of measurement for sub micron sizes.

15

The mirror symmetry of the large angle detectors 34, 36 and the back scatter detectors 38, 40 detectors offers a computational advantage in the calculation of scattering matrices only, it does not affect the sizing performance directly.

20

Although compensation for reflections from cell surfaces have their major use in back scattering we may additionally or alternatively compensate the detected signals for forward scattering using input from detected back scatter signals, but this is likely to be far less significant.

25

Previously it has not been thought worthwhile to compensate for reflections. However, it has been discovered that the reflections create larger errors than originally thought. Indeed at some particle sizes the error due to reflected light is significant. At these particle sizes a  
30 minimum amount of light is back scattered toward the emitter whilst a

maximum amount of light is reflected and we have found that particular particle sizes are  $0.3\mu\text{m}$  (when illuminated with red light) and  $0.2\mu\text{m}$  (when illuminated with blue light).

5 Although the windows 18,20 of the system are coated with anti-reflective coatings it is difficult of optimise the coatings for both the wavelengths of light in the system. The coating may be optimised for one particular wavelength and consequently be less than optimised for the other wavelength. It may therefor be especially advantageous to account for  
10 reflections of light in an apparatus utilising more than wavelength of light.

As discussed hereinbefore alignment of the system is critical and before any measurements can be taken the system must be aligned.

15

The alignment itself is achieved by software in the computational element 77. The alignment detector is introduced and the system inspects the output values from the alignment detectors  $T_1$  to  $T_4$  as described earlier. Corrective steps to the focal plane detector position 22 are  
20 computed and made. The process is then repeated until the movement at the last iteration has reduced below the threshold of significance. Once achieved the alignment target is removed and normal operation can continue.

25 In the absence of particles in the cell 16 there is no scattering of the laser 2 beam or beam from the LED 42 and thus in theory the entire beam will pass through the hole 48 in the wafer 46 and onto the transmission detector 50. If particles are introduced to the cell 16 of the system then light is both absorbed by the particles and scattered into other angles  
30 resulting in a reduction in the signal received on the transmission

detector 50. It is normal to measure the transmission before the introduction of particles  $T_{RB}$  and when particles are present in the cell 16.  $T_{RS}$ . This is used to calculate the "obscuration" of the laser beam, which is given by:

5

$$O_R = 1 - T_{RS}/T_{RB}$$

The obscuration of the laser beam  $O_R$  is used both in the data processing to obtain particle size and as a diagnostic to assist in setting up a suitable  
10 particle concentration range for a particular measurement.

The scattered light from particles present in the cell 16 spreads out into all angles, having a size dependant angular intensity distribution  $S(d, \theta, \phi)$ . The  $d$  represents the particle size,  $\theta$  the scattering angle and  $\phi$  the azimuth  
15 angle. Because particles are normally in random orientation within the cell, and many thousands of particles are scattering simultaneously, the azimuthal dependence of scattering is lost. It is normal practise to sacrifice any potential size information in the  $\phi$  variation to avoid the problems that would arise in needing to align particles practically within  
20 the cell. Thus these systems typically concern themselves with measurement of the  $\theta$  variation, assuming  $\phi$  symmetry, reducing scattering dependence to  $S(d, \theta)$

Broadly, very small particles scatter light isotropically whereas large  
25 particles scatter into a very small angle around the unscattered beam. There are a number of theories available that allow complete prediction of this  $\theta$  variation for a known sized particle and thus by measuring it the size of the scattering particles can be inferred.

The LED transmission detector 45 is used in an identical manner to the transmission detector 50 for the laser beam, which is to find the sample obscuration of the blue light.

5     $O_B = 1 - T_{BS}/T_{BB}$

Using the same terminology as described earlier for the red obscuration.

10    Because the blue beam path is offset in the plane perpendicular to the detector plane by a relatively small amount the effect of the offset is negligible in altering the scattering angles subtended by the large angle 38, 40 and back scatter 34, 36 detectors. As a consequence these detectors can be considered as occupying identical angles of detection in measurement of the blue beam (beam from LED 42) when compared to  
15    measurement of the red beam (beam from the laser 2). Alternatively, some compensation may be applied but we do not believe it to be necessary. The detectors 34, 36, 38, 40 have gain characteristics that are different at the wavelengths emitted by the laser 2 and the LED 42. In addition the data from each detector 34, 36, 38, 40 is weighted differently  
20    in the analysis for the data obtained for the light emitted from the laser 2 and the LED 42. For these reasons the large angle 34, 36 and back scatter 38, 40 detectors have two gain calibrations recorded, one for light emitted from the laser 2 and the other for light emitted from the LED 42.

25    The measurement of the transmission of the light emitted from the laser 2 (and light emitted from the LED 42 in our system) is generally used in prior art systems to ensure that the particle concentration in the cell 16 is in an optimum range. The particle concentration must lie within a specified range if the signal processing is to be effective. At high  
30    concentrations it is important that multiple scattering does not occur, and



if too low there is insufficient signal created on the detectors for reliable measurement. These two limits are usually expressed as an obscuration range so that the user can easily determine from the data display that both criteria are satisfactory. For example in one prior art system it is  
5 required to ensure that the obscuration signal lies in the following range:

$$0.01 < O_R < 0.5$$

In the preferred embodiment a further use has been made of these signals.  
10 Each attenuation is converted to a synthetic data point, the "Extinction". The extinction is related to transmission by the following formula

$$E_B = -A \cdot \ln(T_{BS}/T_{BB})$$

Where  $E_B$  is the extinction of the blue beam and  $A$  is an arbitrary constant.

15

The important property of the extinction data that is useful is that it behaves linearly with concentration whereas the original transmission and obscuration behave non-linearly. This allows the extinction to be treated as a data point and the scaling constant  $A$  can be set to scale the signal so  
20 that it fits into the data set with an appropriate significance. Thus two additional data points are derived from the transmission values and added to the data set that is analysed, the Extinction points for light emitted from the laser 42 and emitted from the LED 42.

25 These data points are useful in that they are sensitive to the detection of small particles. Such materials generate weak scattering signals but are effective at reducing the beam transmission, effectively generating high extinction. The combination therefore of high extinction and low scattering is indicative of fine materials. The disparity between the  
30 extinction of light emitted from the laser 2 and the LED 42 values also

contains useful small size information. For larger sizes the extinction values are identical, for fine particle sizes the extinction differs. The difference increases as size reduces within a useful size range. The extinction data points therefore provide size discrimination information for small particles. They are approximately equivalent in information terms to the back-scatter detectors 38, 40.

In the preferred embodiment there are back scatter 38, 40 detectors provided and it is valid to question why the extinction points are also included, if they provide the same size information. There is a further benefit to sub-micron capability given by these points however, when the sample concentration is low the back-scatter data becomes small, poorly resolved and hence subject to substantial experimental error. The absence of extinction points would affect the ability of the preferred embodiment to repeatably measure small sizes. The transmission measurements are much easier to make and remain precise after the back-scatter signals have become unreliable.

Thus the extinction data points enhance the performance extending the size range over that obtained using the back scatter 38, 40 signals alone.

The transmission measurements of the light emitted from the laser 2 and LED 42 are made one after the other and not simultaneously. During background or sample measurements the sequence is the same. The shutter in front of the laser is turned on (passing the light from the laser 2), the blue LED 42 is switched off and measurement using light from the laser 2 is performed. When the measurement is completed (for example immediately afterwards) the shutter is introduced to block light from the laser 2 and the LED 42 is switched on. The same measurement

process can then take the data obtained from the light emitted from the LED 42.

5 The measurement points using light emitted from the LED 42 are extracted from this second measurement and inserted into the measurement data obtained from measurements taken from light emitted from the laser 2, extending it. As the data sets are combined the respective gains of both systems are adjusted to comply with a previous system calibration. The combined data set becomes the resultant  
10 experimental data that is analysed to obtain particle size using the computational element 77 and computational device.

As particles pass through the cell 16 many thousands of particles are simultaneously illuminated and the signal received on a detector is a  
15 continuous optical summation of the scattering from all particles within the cell 16. As the particles pass through the cell 16 the sample volume population fluctuates statistically and thus the signal develops a noise like fluctuation reflecting the local population variation.

20 It is normal for a detector signal to be integrated over a significant time period in order to ensure that the angular intensity curve analysed is representative of a large number of particles. The integration process thus removes the statistical noise and ensures that the average is representative of the entire population of the material. This integration  
25 can be performed conventionally either by the analogue electronics, by digital electronics, by summation in a microprocessor or stand-alone computer such as a PC. In the preferred embodiment it is normally performed by a microprocessor built into the system.

In any event the detector data is produced simultaneously from all angles during any measurement from either one of the light sources 2, 42.

The parallel data produced by the system is fed to the circuitry of  
5 Figure 8 which produces a serial stream that can be read through a common interface.

The Sample and Hold function is operated by a control signal on the common timing line 78 and effectively freezes the signal at a single time  
10 instant. By ensuring that data from all detectors is frozen at the same time before conversion it is ensured that no concentration fluctuations of the sample in the cell 16 become converted into apparent angular fluctuations by the serialisation process that follows.

15 At the time at which measurement is required the control signal goes from tracking to hold mode which locks the signal readings on the outputs of the sample and hold circuits. It is important that data is then converted quickly so that signal droop does not occur.

20 The computational device connected to the output of the ADC runs an algorithm that accesses each detector channel in sequence until all valid channels for that wavelength has been collected, digitised and held in memory. The complete data set from this single sample and hold event is called either a "sweep" or a "snap" and is the smallest unit of  
25 measurement of data.

These snaps of complete system data are then taken successively and summed by the computational element 77 to form an experiment. A snap requires a defined minimum time interval to complete, and multiple snaps  
30 are performed at the fastest rate that the computational device can

accommodate. Thus the measurement time is determined by the number of snaps requested, usually controllable by the user.

Because of the time sequential nature of the measurements using light  
5 emitted by the laser 2 and the LED 42 the measurement is in fact  
accumulated in two sub-experiments. When the beam from the laser is  
incident upon the cell 16 the requested number of snaps of integration are  
first summed, the first experiment. Then the instrument switches  
10 automatically so that light emitted from the LED 42 is incident upon the  
cell 16 and performs the same number of snaps again, accumulating a new  
record, the second experiment. For the experiment using the LED 42 to  
emit the light most of the data accumulated is unused in our preferred  
embodiment since only the large angle 34, 36 and the back scatter 38, 40  
15 signals are valid. These data points are extracted by the computational  
element 77 from the second experiment and interleaved with the first  
experiment extending it (extending the angular range of scattering over  
which reliable meaningful signals have been collected). At this point any  
scale compensation required between the optical components for light  
20 emitted from the laser 2 and the LED 42 is applied. Thus the  
computational device uses experimental results accumulated from the use  
of light emitted from both the laser 2 and the LED 42.

The obscuration signals for light emitted from both the laser 2 and the  
LED 42 from the transmission detector 50 and the LED transmission 45  
25 detectors are also read by being passed through the multiplexer and ADC  
to the computational device. Similarly the signal from the laser power  
monitor 10 is fed through to allow the signals using the light emitted from  
the laser 2 to be scaled to be adjusted for any laser power variation. An  
obvious extension of this approach would be, as mentioned earlier, the  
30 reading of the blue monitor signal for the same purpose on blue data.

With such systems it is a concern that the system is still operating correctly and in calibration. These concerns are met often by the use of standard samples, known particle size distributions that can be put in periodically to check system capability.

Previously particle substitutes such as reticules, where particles are in effect simulated by the use of chrome disks printed onto a glass substrate have been used. The reticule has a benefit that it is unquestionably stable and easy to measure. Although initial purchase is expensive its cost per measurement thereafter is nil which encourages frequent use and greater confidence with the user. The reticule however generally requires a special mounting cell to fit in order to allow it to be moved in and out. A more ideal solution would be one in which a reticule could be built into the system and introduced as required.

The preferred embodiment uses this approach having a Verification Target, VT, which operates in an identical manner to the Alignment Target above. The Verification Target is also mounted on a shutter and interposes itself in the beam in an identical manner to the Alignment Target. The Verification Target is positioned at a distance  $F_v$  from the focal plane detector 22.

Clearly it would be possible to combine the functions in practise of the Alignment and Verification Target. Firstly it may be possible for a single target to perform both functions, however it may also be possible to use the same target control stage to introduce the two independent targets at the same  $F$  distance. In principle however the functions performed are different even when commonly implemented.

The Verification Target could be any structure that provides a pre-determined scattering pattern, common possibilities would be a sample cell full of standard particles, a reticule or grating, etc. In the preferred embodiment the dark field reticule format is utilised again and thus the diagram describing its implementation is exactly as for the Alignment Target shown earlier (Figure 5 with  $F_T$  replaced with  $F_v$ ). The difference between the two targets is that the first was optimised to generate a signal allowing reliable alignment, the second generates a highly reproducible scattering characteristic.

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The dark field scatterer in this case is a single pinhole in an otherwise opaque screen. This ensures that coherent scattering effects from multiple apertures do not corrupt the scattering pattern. Because it is a single aperture the technique is limited to a relatively large hole size to allow sufficient signal through.

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The target pinhole can be made to an accurate dimension, however it is not strictly necessary since the target can itself be calibrated. The process is to first calibrate the instrument using conventional alignment techniques and test standard samples. The Verification Target is then introduced (possibly automatically by the machine) and its scattering signature recorded for the calibrated system.

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The subsequent process of verification then proceeds by introducing VT and recording the signal characteristic. This can be directly compared to the recorded and known good characteristic, to see if any discrepancy appears that may influence calibration. This can be done entirely automatically and without user intervention. The system need only involve the user where an error is detected.

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The Verification Target allows, by measurement of a clean background and the VT target, a wide range of diagnostic tests on the system. It is possible to test for, for example, missing signals, dirty optics, out of calibration lenses and failing lasers. Thus it can provide a high degree of comfort to the user that the system has not degraded.

However it would be wise to keep in mind that a further number of system failures would not be detected by such a system, thus it cannot be viewed as a "whole body" test. In particular the large angle 34, 36 and the back scatter 38, 40 detectors are not tested by such a system unless the target were introduced in the plane of the sample cell 16. This is because the detectors are spatially filtered to only detect light scattered from that source region.

The verification target and sample cell 16 can be thought of as accessories to the particle sizing apparatus in that they are added at various times to perform specific function. Each of these accessories may be provided with an identification means capable of identifying the accessory, automatically, to the apparatus. In the preferred embodiment the identification means comprises a coded magnet array which is adapted to be read by a sensor utilising the Hall effect sensor array provided in the apparatus.

Such automatic reading to determine the identity of the apparatus is advantageous in that it removes some of the skill necessary to operate the apparatus. For instance a user may not use the apparatus regularly and may therefore forget the detailed operation of the apparatus. De-skilling the operation may make it easier to remember how the apparatus functions.



The apparatus may be provided with a visible light means situated at a top most region of the apparatus acting as a power on / off display. That is the light means may be adapted to emit light when the apparatus is in a powered on situation, and off when there is no power to the apparatus.

- 5 The light means may be situated such that it is visible from substantially any angle around the machine which is advantageous in that it allows a user to readily determine whether or not there is power to the apparatus.

- 10 The light level measurements taken using light from each detector may be manipulated so that the measurements comprise a single data set as if the measurements had been taken by a single wavelength of light.

## CLAIMS

1. A particle size distribution analysis apparatus comprising a sample measurement zone adapted to define a sample of particles, a light emitting means adapted to provide a source of light incident upon the sample measurement zone, and at least a first detection means adapted to measure light levels in the apparatus at particular scattering angles and output a signal to a computation means enabling the particle size distribution of particles contained within the sample to be determined, wherein the computation means is adapted, in use, to calculate a particle size distribution taking into account reflections of light emitted from the light emitting means.
2. An apparatus according to claim 1 wherein there is provided a second detection means and the computation means is adapted, in use, to modify the readings taken from the first detection means based upon the readings taken from the second detection means to take in to account reflections.
3. An apparatus according to claim 2 wherein the computation means is adapted, in use, to modify the readings taken from the second detection means based upon the readings taken from the first detection means to take in to account reflections.
4. An apparatus according to any preceding claim wherein the measurement zone comprises at least a pair of spaced windows adapted to contain the sample.
5. An apparatus according to any preceding claim wherein the first detection means comprises a large angle detector which is situated

substantially in the range  $90^\circ$  to  $0^\circ$  from the axis of the beam of light emitted from the light emitting means taking the direction of travel of the light as  $0^\circ$ .

5 6. An apparatus according to claim 5 the large angle detector is situated substantially in the range of  $70^\circ$  to  $40^\circ$ .

7. An apparatus according to claim 5 or 6 wherein there is provided a plurality of large angle detectors.

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8. An apparatus according to any one of claim 5 to 7 wherein there are provided two large angle detectors.

9. An apparatus according to any preceding claim wherein the second  
15 detection means comprises a back scatter detector which is situated substantially at an obtuse angle from the axis of the beam of light emitted from the light emitting means taking the direction of travel of the light as  $0^\circ$ .

20 10. An apparatus according to claim 9 wherein the obtuse angle is substantially in the range  $90^\circ$  to  $180^\circ$ .

11. An apparatus according to claim 10 or 11 wherein the obtuse angle is substantially in the range  $110^\circ$  to  $170^\circ$ .

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12. An apparatus according to any one of claims 9 to 11 wherein there are provided a plurality of back scatter detectors.

13. An apparatus according to any one of claims 9 to 12 wherein there  
30 are two back scatter detectors.

14. An apparatus according to any claim directly or indirectly dependent upon claim 2 wherein the angle at which the second detection means is inclined relative to a beam of light emitted from the light emitting means is equal to  $180^\circ$  minus the angle at which the first detection means is inclined relative to the beam of light.

15. An apparatus according to any claim directly or indirectly dependent upon claim 2 wherein there are a plurality of first detection means and the same number of second detection means wherein the first and second detection means are inclined symmetrically relative to the to the measurement zone.

16. An apparatus according to any claim directly or indirectly dependent upon claim 2 wherein the first and second detectors are of substantially the same construction.

19. A method of improving the accuracy of a particle size distribution calculation performed by illuminating a sample with light from a light emitting means and taking readings of the amount of light scattered by the sample comprising providing at least a first detection means and calculating a particle size distribution taking into account reflections of light emitted from the light emitting means.

20. A method according to claim 19 which comprises providing a second detection means and modifying readings taken from the first detection means by readings taken from the second detection means.

21. A method according to claim 20 in which the reading taken from the second detection means is modified by readings taken by the first detection means.

5 22. A method according to claim 20 or 21 which comprises compensating a reading from a detection means detecting light scattered having a directional component toward the light emitting means with a readings from a detection means detecting scattered light having no directional component toward the light emitting means.

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23. A method according to any one of claims 20 to 22 which comprises compensating a reading from a detection means detecting light having no directional component toward the light emitting means with a reading from a detection means detecting scattered light having a directional  
15 component toward the light emitting means.

24. A method according to any one of claims 20 to 23 which comprises providing the two detection means at the substantially the mirror symmetric angles relative to a beam of light emitted by the light emitting  
20 means.

25. A method according to any one of claims 19 to 25 which comprises integrating the signals received by the first detection means to obtain a reading.

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26. A method according to any one of claims 20 to 26 which comprises integrating the signal received by the second detection means to obtain a reading.

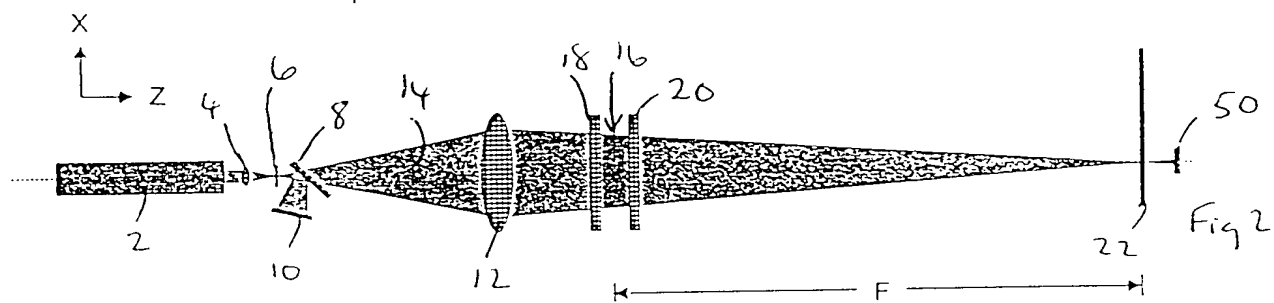
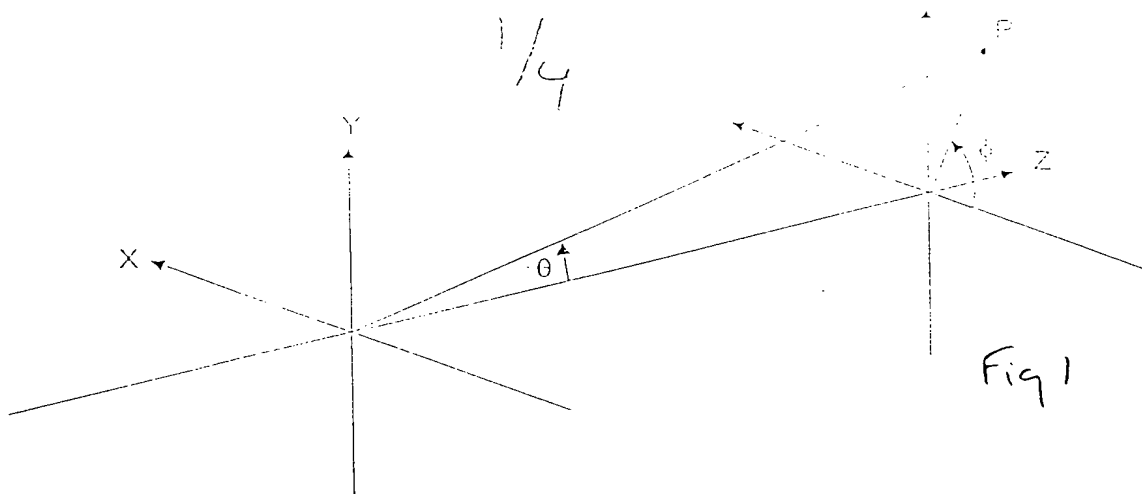
27. A method according to any one of claims 19 to 26 which comprises providing a plurality of detectors for the first detection means.

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5 28. A method according to any one of claims 20 to 27 which comprises providing a plurality of detectors for the second detection means.

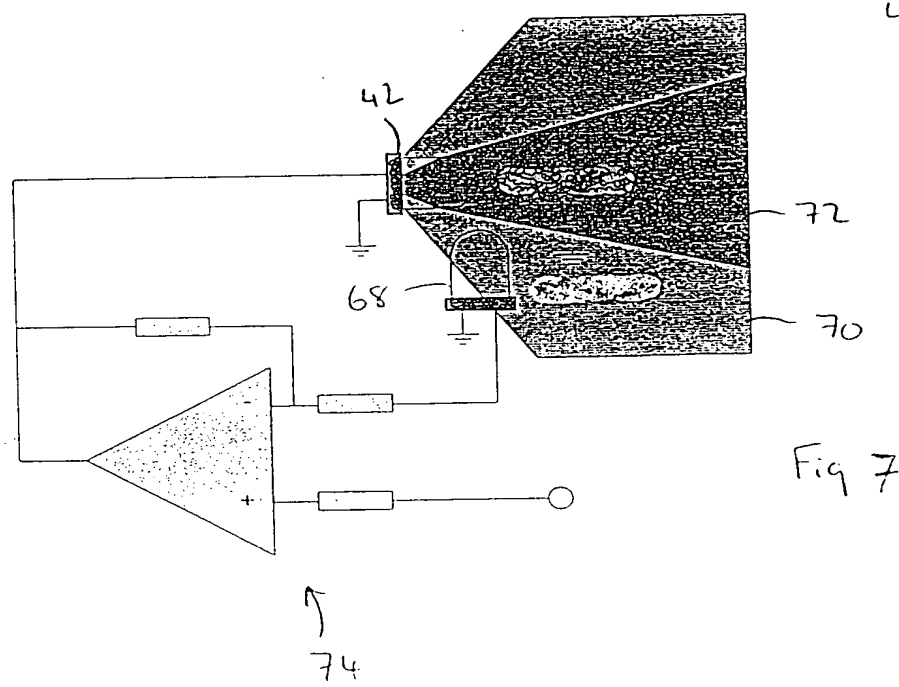
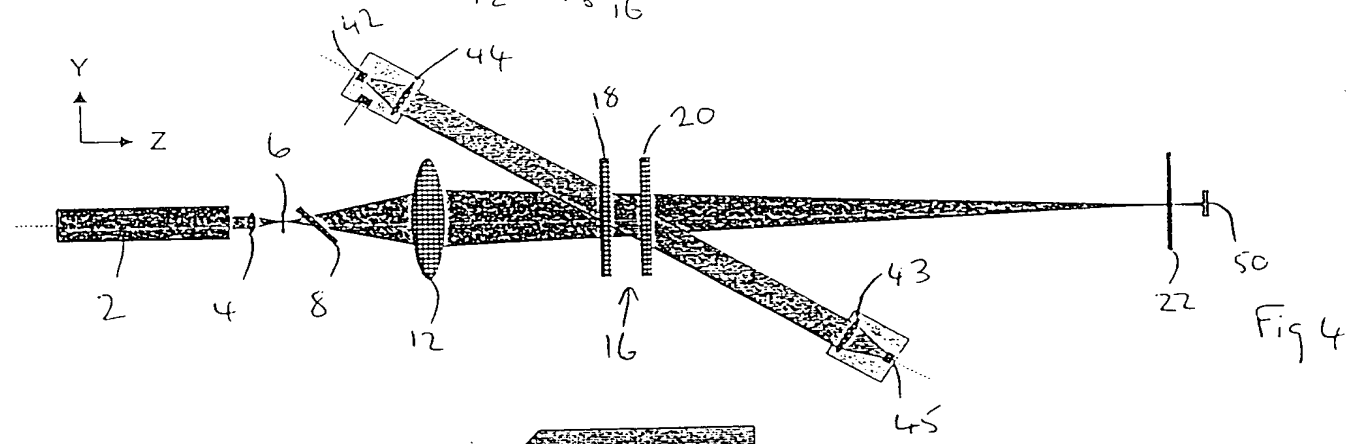
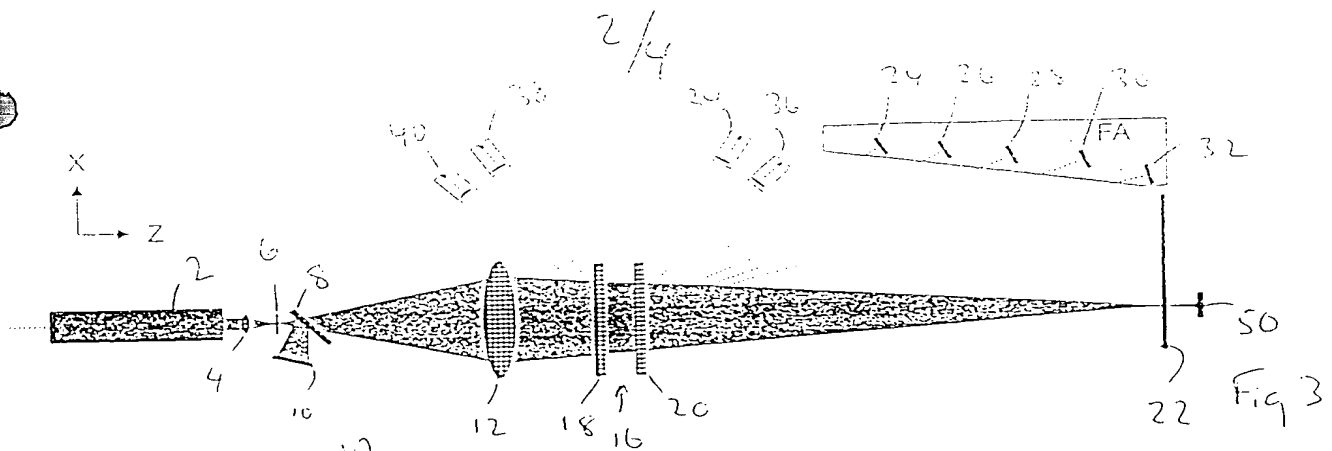
28. A particle size distribution analysis apparatus substantially as described herein with reference to the accompanying drawings.

10 29. A method of improving the accuracy of a particle size distribution calculation substantially as described herein with reference to the accompanying drawings.

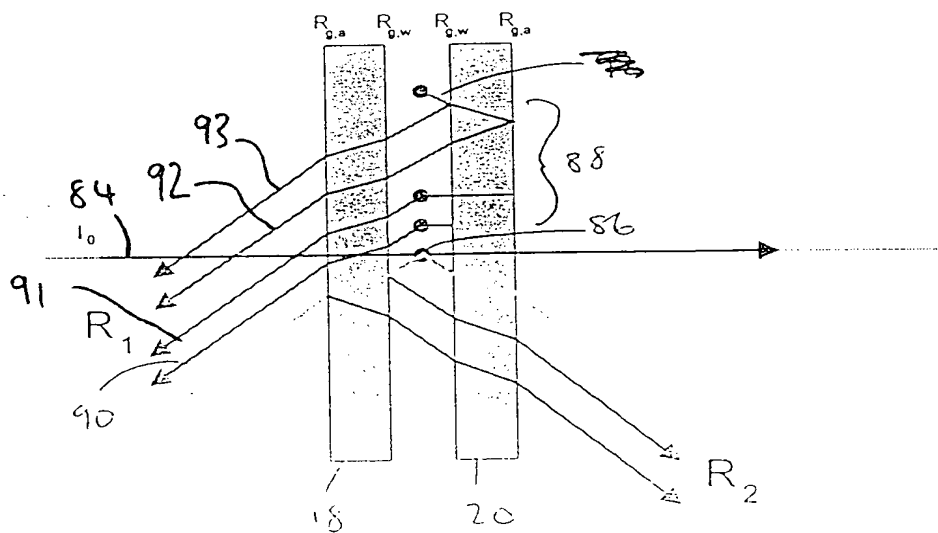
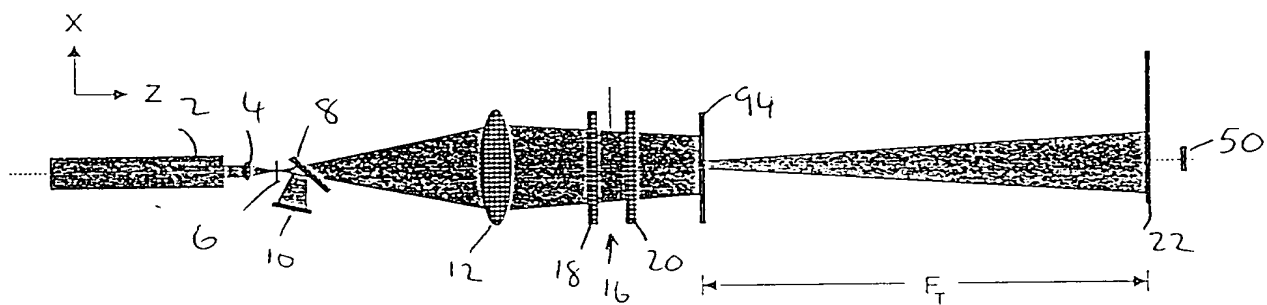


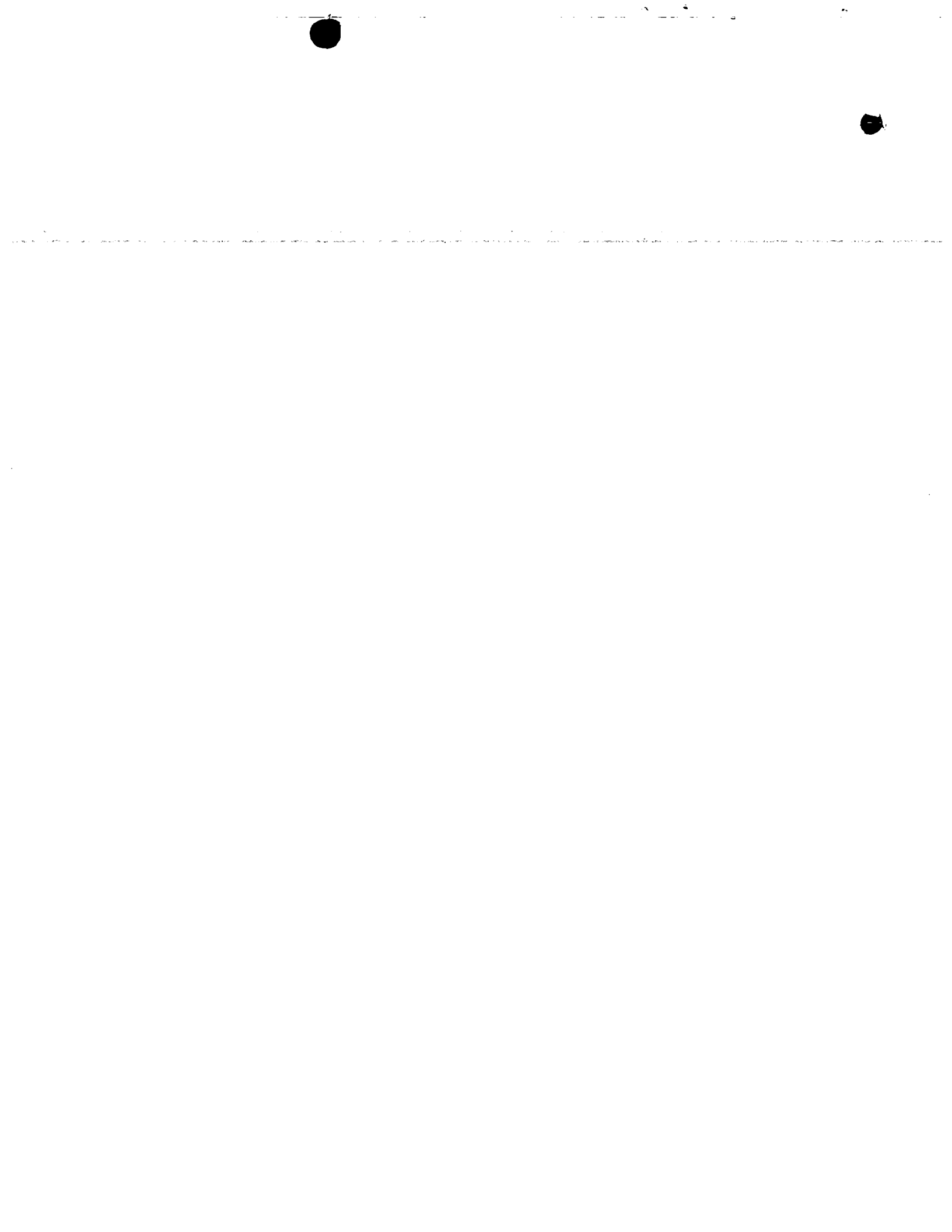












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